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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. Feldman 11-1-1-2-8 Under 37 CFR 1.97(b), (c), or (d) Feldman et al. In re Application of: Group Art Unit Filing Date Examiner Serial No. Not yet assigned 10/092,746 3/7/02 Not yet assigned METHOD AND APPARATUS FOR AUTOMATICALLY CONTROLLING OPTICAL SIGNAL Title: TICAL TRANSMISSION SYSTEMS Address to: **Assistant Commissioner for Patents** Washington, D.C. 20231 37 CFR 1.97(b) The information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but 2.  $\square$ prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below. 37 CFR 1.97(d) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below. Required Statements and/or Fees Under 37 CFR 1.97(c) or (d) Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1)) No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2)) The fee set forth in 37 CFR 1.17(p). 37 CFR §1.704(d) Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement. Dated: 4/1/07 Robert M. Brush, Attorney Certificate of Mailing by First Class Mail I certify that this document is being deposited on 4/102Reg. No. 45,710 with the U.S. Postal Service as first class mail under 37 CFR §1.8 Moser, Patterson & Sheridan, LLP and is addressed to the Assistant Commissioner for Patents, Washington, D.G. 20231 Attorneys at Law aine ( 595 Shrewsbury Avenue, Suite 100 Signature of Person Mailing Correspondence Shrewsbury, New Jersey 07702 732-530-9404

Sheet 1 of 1 sheet(s) Serial No. U.S. Department of Commerce, Patent and Trademark Office Docket No. Feldman 11-1-1-2-8 10/092,746 (PTO Form 1449 modified) INFORMATION DISCLOSURE STATEMENT BY APPLICAT Applicant Confirmation No.: Feldman et al. Not Yet Assigned APR 1 2 2000 Filing Date Group (Use several sheets if necessary) 3/7/02 Not Yet Assigned Examiner Not Yet Assigned TRADEN **U.S. Patent Documents** Class Subclass Filing Date If **Document** Issue Applicant(s) \*Examiner Appropriate Name Number Date Initial 551 2/19/91 Levin et al. 250 4,994,675 Α1 Yoshida et al. 359 161 A2 3/24/92 5,099,349 **A3** 5,136,410 8/4/92 Heiling et al. 359 110 359 174 Tsushima et al. A4 5,500,756 3/19/96 359 177 7/13/99 Yoneyama **A5** 5,923,453 Alexander et al. 359 110 5,986,782 11/16/99 A6 Goel et al. 359 110 **A7** 3/19/02 6,359,708 **A8** A9 A10 A11 A12 A13 **Foreign Patent Documents** Country Class Subclass Translation \*Examiner Document Date Initial Number YES NO 7/15/98 **EPO** H04B 10/08 **B1** EP 0 853 394 A2 B2 П **B3**  $\Box$ П **B4 B**5 OTHER ART Including Author, Title, Date, Pertinent Pages, Etc. \*Examiner Initial C1V U.S.S.N. 09/205,412, filed 12/4/98, Czamocha et al. inventors, entitled AUTOMATIC POWER SHUT-DOWN ARRANGEMENT FOR OPTICAL LINE SYSTEMS C2 C3 Date Considered Examiner \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.